

FAX RECEIVED

MAR 16 1998

GROUP 2600

OFFICIAL

#12  
MDJ  
3-20-98

AMENDMENT TRANSMITTAL LETTER

Attorney Docket No.  
ACONT.D101 (NEW)

SERIAL NO. 08/516,646	FILING DATE August 18, 1995	EXAMINER J. Thomas	Group Art Unit 2411 2741
--------------------------	--------------------------------	-----------------------	-----------------------------

INVENTION TITLE:

APPARATUS AND METHOD FOR TRADING ELECTRIC ENERGY

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:  
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

(1)	(2)	(3)	CLAIMS AS AMENDED		Small Entity	
			(4) Highest No. Previously Paid for	(5) No. of Extra Claims Present	(6) RATE	(7) ADDITIONAL FEE
Total Claims	* 18	Minus	** 15	= 0	x \$11	= 0
Indep. Claims	* 5	Minus	** 3	= 2	x \$41.00	= 82.00

TOTAL ADDITIONAL FEE  
FOR THIS AMENDMENT \$ 164.00

\* If the entry in column 2 is less than the entry in column 4, write "0" in column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 10, write "10" in this space.

- An additional fee of \$82.00 is required for independent claims which exceed 3.
- A check in amount of \$475.00 is attached to accommodate the fee for a 3 month extension of time to file a response.
- Please charge any additional fees or credit overpayment to Deposit Account No. 50-0392.

A duplicate copy of this sheet is enclosed.



January 29, 1998

Attorney of Record  
David W. Carstens  
Registration No. 34,134

1/20/1998 4PEOPLES 00000045 DAH:500392 08516646  
FC117 950.00 CH  
FC102 164.00 CH

PTO Form 3.52

Patent and Trademark Office - U.S. DEPARTMENT OF COMMERCE

113037.1/SPJ/RRH/RRH/012998

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EL0033430095US Date of Deposit January 29, 1998 I

FAX RECEIVED

MAR 16 1998

OFFICIAL

**Carstens,  
Yee &  
Cahoon, L.L.P.**

8131 LBJ Freeway  
Suite 800  
Dallas, Texas 75251

Main No. (972) 997-7290  
Facsimile (972) 997-7291

GROUP 2600

## Facsimile Cover Sheet

<b>To:</b> Examiner Joseph Thomas		<b>Facsimile No.:</b> 703.305.9508
<b>Main No. of Receiving Firm:</b> 703.305.9588		
<b>From:</b> David Carstens		<b>No. of Pages Including Cover Sheet:</b> 31
<b>Message:</b>  Examiner Thomas,  Thank you for your call. Here is the amendment, a supporting affidavit, and our Express Mail receipt showing the mailing took place on Jan. 29, 1998. Please call if you have any questions.  David		
<b>C/M#</b>	ACONT.0101	<b>Logged Date:</b>
		<b>By:</b>

**Please contact us at (972) 997-7290 if  
you do not receive all pages  
indicated above or experience any  
difficulty in receiving this facsimile.**

*This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.*



## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EL0033430095US Date of Deposit January 29, 1998 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, BOX Amendment, Arlington, VA 22202-3513.

Jay Nowakowski

Type or Print Name

J. Nowakowski

Signature

Enclosed are the following documents:

Amendment

Amendment Transmittal letter

Affidavit of Brent Comstock (with three attachments)

Check in the amount of \$517.00

Declaration Claiming Small Entity Status - Small Business Concern.